CERTIFICATE OF PUBLIC CONVENIENCE AND NECESSITY - INITIAL OPERATIONS WITH TARIFF

Filing Requirements Checklist

(Applicable Authority: 807 KAR 5:001, Section 8 and KRS 278.160, 807 KAR 5:011)

Case No.	Applica	nt Name	Received Date	Form Circulatio	orm Circulation Date				
Instructions:	2) This form is information3) Staff members				on is needed,	an			
Reviewed by fo	llowing Divisions: Filings Financial Ana Legal	<u>Date</u>	Staff Member						
Division Responsible	Law/Regulation 807 KAR 5:001:	Filing Requirement		Requirement Met Yes No	Waiver Requested	Appr Waiv Yes	ver?		
Filings	Section 8(1)	Full name and post office ad particular provision of law re-							
Filings	Section 8(2)	The original and 10 copies o party named therein as an in							
Legal	Section 8(3)	and all amendments thereto	a certified copy of the Articles of Incorporation or if the articles were filed with the PSC in a to the style and case number of the prior						
	807 KAR 5:011								

Chk021.doc - Page 1 Revised 12-01-04

Division Responsible	Law/Regulation	Filing Requirement		ement	Waiver Requested	Appr Waiv	/er?
Financial	Section 3	Type not smaller than 6 point or typewritten on good quality paper.	Yes	No		Yes	No
Analysis Financial Analysis Financial Analysis	Section 3	2) Pages 8 ½ X 11 in size.					
		2) 1 ages 0 /2 X 11 iii 3i2e.					
		3) Loose leaf form using one side of the paper only, with not more than one schedule to a page.					
Financial Analysis Financial Analysis		4) Front cover page containing the following:					
		a) Name of utility and location of principal office.					
Financial Analysis		b) Statement of kind of service offered.					
Financial Analysis		c) General statement of territory served.					
Financial Analysis		d) Date of issue and date is to become effective.	N/A unless tariff has an effective date.				
Financial Analysis		e) Signature of the officer of the utility authorized to issue tariffs.	N/A				
Financial Analysis		f) In the upper right hand corner the Commission number of the tariff.					
Analysis	807 KAR 5:011						
Financial Analysis	Section 3	5) Second and succeeding pages containing:					
Financial Analysis		a) All the rules and regulations of the utility.					
Financial Analysis		b) Rate schedules showing all rates and charges for the several classes of service.					
Financial Analysis Financial Analysis Financial Analysis Financial Analysis		c) Signature of the officer of the N/A utility authorized to issue tariffs.	N/A				
		d) Date of issue and date tariff is to become effective.	N/A unless tariff has an effective date.				
		e) In the upper right hand corner, the Commission number of the tariff.					
		6) In that portion of the tariff dealing with rates, the following information under the following captions in the order listed:					
Financial Analysis		a) Applicable: show territory covered by tariff.					
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Chk021.doc - Page 2 Revised 12-01-04

Division Responsible	Law/Regulation	Filing Requirement	Requireme Met	Req	Waiver Requested		ove er?
			Yes No)		Yes	No
Financial Analysis		b) Availability of service: show classes of customers affected, such as domestic, commercial, etc.					
Financial Analysis		c) Rates: a list of all rates covered by tariff.					
Financial Analysis		d) Minimum charge: state amount of charge and quantity allowed.					
Financial Analysis		e) Delayed payment charge: whether penalty or discount.					
Financial Analysis		f) Term: if contracts are made for certain periods, length of term.					
Financial Analysis		g) Special rules: any special rules and regulations in effect covering this tariff.					
	807 KAR 5:011						
Financial Analysis	Section 4	Contents of rate schedules:					
Financial Analysis		1) Must state the city, town, village or district in which rates are applicable. If schedules applicable in a large number of communities, must be accompanied by an accurate index by which each community in which the rates are applicable may be readily ascertained, in which case reference must be made to the index sheet.					
Financial Analysis		2) Rate schedule must state that class of service available under the rates stated therein.					
Financial Analysis		3) For a tariff in which a number of schedules are shown for various uses, each schedule shall be identified by a number or by a group of letters, and if by a group of letters, the designation shall be indicative of the class of service for which the schedule is available.					
Financial Analysis		4) Each page of the tariff shall bear the commission number of the tariff, the date issued and effective, the signature of the issuing officer, and in the upper right hand corner, a further designation, such as "Original Sheet No. 1," "Original Sheet No. 2," etc.					
Financial Analysis		5) A statement as to whether a minimum charge is made, and if so, a listing of all such charges and a statement as to whether the minimum charge is subject to prompt payment discount or delayed payment penalty.					

Division Responsible	Law/Regulation	Filing Requirement		ement et	Waiver Requested	Appr Waiv	
Financial Analysis	Section 6	If tariff filing involves the furnishing of equipment or services to the customer by the utility, must have a description of the equipment or service. (Cost of service study waived by Administrative Case 323 for non-dominant.)	Yes	No		Yes	No